

FORM PTO-1390 (Modified)  
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

MERCK 2034

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/381903

INTERNATIONAL APPLICATION NO.  
PCT/EP98/01507INTERNATIONAL FILING DATE  
16 MARCH 1998PRIORITY DATE CLAIMED  
27 MARCH 1997

## TITLE OF INVENTION

MUTANTS OF GRAMINEAE POLLEN ALLERGENS FOR SPECIFIC IMMUNOTHERAPY, THEIR  
PREPARATION AND USE

APPLICANT(S) FOR DO/EO/US

Helga KAHLERT et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 18 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

Letter

PCT/IB/304

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>09/381903</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP98/01507</b>		ATTORNEY'S DOCKET NUMBER <b>MERCK 2034</b>	
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20. The following fees are submitted: <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b>				<b>CALCULATIONS PTO USE ONLY</b>	
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO ..... <b>\$840.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... <b>\$670.00</b> <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... <b>\$760.00</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$970.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... <b>\$96.00</b>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$840.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<b>\$130.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	14 - 20 =	0	x \$18.00	<b>\$0.00</b>	
Independent claims	1 - 3 =	0	x \$78.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$970.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) <input type="checkbox"/>				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$970.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$970.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable) <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$970.00</b>	
				Amount to be: refunded	\$
				charged	\$

☒ A check in the amount of **\$970.00** to cover the above fees is enclosed.  
☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.  
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **13-3402** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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AJZ:jvbp

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**Anthony J. Zelano**  
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**27,969**  
 REGISTRATION NUMBER

**27 September 1999**  
 DATE

420 Rec'd PCT/PTO 27 SEP 1999

## IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

International Application No. : PCT/EP98/01507  
International Filing Date : 16 March 1998  
Priority Date Claimed : 27 March 1997  
Applicant(s) (DO/EO/US) : KAHLERT et al.

Title: MUTANTS OF GRAMINEAE POLLEN ALLERGENS FOR SPECIFIC  
IMMUNOTHERAPY, THEIR PREPARATION AND USE

**PRELIMINARY AMENDMENT**

BOX PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

Prior to calculating the national fee, and prior to examination in the National Phase of the above-identified International application, please amend as indicated below.

**IN THE CLAIMS:**

Please amend claims 3 thru 5 and 10-14 as follows:

**Claim 3, lines 1 and 2:** Change "Claims 1 and 2 " to -- Claim 1 --.

**Claim 4, lines 1 and 2:** Change "one or more of the preceding Claims " to -- Claim 1 --.

**Claim 5, lines 1 and 2:** Change "Claims 1-4 " to -- Claim 1 --.

**Claim 10, line 2:** Change "Claims 1 to 9 " to -- Claim 1 --.

**Claim 11, line 3:** Change "Claims 1-9 " to -- Claim 1 --.

**Claim 12, line 3:** Change "Claims 1-9 " to -- Claim 1 --.

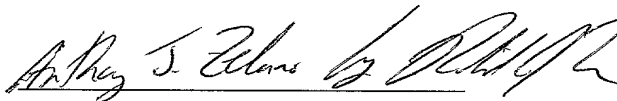
**Claim 13, line 3:** Change "Claims 1-9 " to -- Claim 1 --.

**Claim 14, line 2:** Change "Claims 1-9 " to -- Claim 1 --.

### **REMARKS**

The principal purpose of this Preliminary Amendment is to eliminate multiple dependencies in order to avoid extra fees, Applicant reserving the right to add claims to recombined cancelled subject matter.

Respectfully submitted,



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**Filed:** 27 September 1999  
AJZ:jvbp

Mutants of Gramineae pollen allergens for specific  
immunotherapy, their preparation and use

5 The invention relates to modified recombinant allergens  
(mra) which are derived from allergens which can be  
obtained from natural raw materials by extraction.  
Pollen grains from Gramineae, such as Phleum pratense,  
Lolium perenne, Dactylus glomerata, Poa pratensis,  
Cynodon dactylon and Holcus lanatus, inter alia, are  
10 used as the natural raw material.

15 Extracts of Gramineae pollen, as employed for diagnos-  
tic and therapeutic use, consist of a heterogeneous  
mixture of proteins and glycoproteins, some of which  
react with IgE antibodies of allergic patients and are  
termed allergens by definition. The molecular proper-  
ties of these allergens enable them to be classified  
into 6 groups, in association with which the cross-  
reactivity of the Gramineae species in question is  
20 relatively high. The dominant allergen groups (main  
allergens) are groups 5 and 1, in accordance with the  
customary allergen classification (Liebers et al.,  
Clin. Exper. Allergy, 26, 494-516 (1996)). The N-  
terminal amino acid sequences and/or the partial or  
25 complete deduced amino acid sequences of groups 5 and 1  
of the main allergens are known (Vrtala et al., J.  
Immunology 151, 4773-4781 (1993) and Bufe et al. FEBS.  
Lett. 263, 6-12 (1995)). Furthermore, methods for  
cloning these main allergens have been described  
30 (Scheiner et al. Int. Arch Allergy Immunol. 98, 93-96  
(1992)).

At present, aqueous extracts of Gramineae pollen are  
used for the in-vitro diagnosis of type 1 allergies.  
35 These extracts are also the basis for in-vitro  
diagnosis and subsequent specific immunotherapy (Fiebig  
H., Allergo Journal 7, 377-382 (1995)). The use of  
native allergen extracts for specific immunotherapy is  
restricted by the IgE-dependent, allergic reactions

(side reactions) which are induced under these circumstances. For this reason, native allergen extracts can only be administered in doses which are below the side effect threshold. In order to achieve the high allergen concentrations which are required for the therapeutic effect, the extracts are administered by means of several consecutive injections at a concentration which increases up to the maintenance dose. By means of adsorption onto gels, it is possible to use allergen extracts for hyposensitization in a manner which is more efficient and less subject to side effects.

A further improvement was achieved by chemically modifying the allergens to form allergoids, which have a lower reactivity with IgE but which to a large extent retain their immunogenicity (Fiebig H., Allergo Journal 7, 377-382 (1995) and Maasch et al. Clin. Ref. Allergy 5, 89-106 (1987)).

In initial investigations with house dust mite allergens, there are indications that a reduction in the IgE reactivity can be achieved by means of directed amino acid replacement (Smith et al. Mol. Immunol. 33, 339-405 (1996) and Nishiyama et al. Mol. Immunol. 32, 1021-1029 (1995)).

At the moment, the established hyposensitization of patients who are allergic to Gramineae pollen is carried out using natural extracts which comprise all the known allergens and also non-allergenic but immunogenic minor components in substantial concentrations, although, for allergen-specific therapy, only those allergen molecules against which the particular patient is in fact sensitized are required. This means that the allergic patient is unavoidably treated with components which do not contribute to his hyposensitization and which can induce side effects.

As a result of the availability of modified recombinant allergens, individual allergens, or defined mixtures, can be used as pharmaceuticals for the hyposensitization in accordance with the individual sensitization spectrum.

This provides the possibility of a specific, made-to-measure therapy.

The invention was based on the object of discovering novel compounds having valuable properties, in particular compounds which can be used for producing pharmaceuticals.

It has been found that the compounds of the present invention, in the form of the modified recombinant allergens and their salts and solvates, possess very valuable pharmacological properties while at the same time being well tolerated. In particular, they have a hyposensitizing effect.

The compounds can be used as pharmaceutical active compounds in human and veterinary medicine, in particular for therapy in association with allergic diseases and for hyposensitizing allergic patients.

Surprisingly, success has been achieved, within the context of the present invention, in using recombinant allergens, whose amino acid sequences are identical to those of allergen molecules which occur in natural extracts, to construct mutants, by means of genetic manipulation methods which are known per se, which react specifically with T lymphocytes of patients who are allergic to grass pollen, i.e. which stimulate the T lymphocytes to proliferate and synthesize cytokines or which induce anergy in the T lymphocytes, but which exhibit a markedly diminished ability to bind to the IgE antibodies which are present in the serum of the T

lymphocyte donors and to grass pollen allergen-specific IgE from the sera of other patients who are allergic to grass pollen.

5 This effect, which is not seen either in the case of the naturally occurring allergens or in the case of the recombinant allergens, is desirable because

- 10 - the IgE-mediated side effects which otherwise occur during hyposensitization are avoided or are at least strongly diminished,
- 15 - it ensures recognition of the modified recombinant allergens by the TH memory lymphocytes of the allergic patients,
- 20 - it creates the conditions for normalizing the balance, which is disturbed in the allergic patient, of the variously differentiated TH subpopulations,
- 25 - it makes possible a therapeutic effect by means of anergizing and/or eliminating the allergen-reactive T cells and functionally reorienting a specific T cell population which is TH2-dominated to one which is TH0/TH1-aligned,
- 30 - the immunoglobulin synthesis can be switched from the formation of spec. IgE antibodies (TH2-controlled), which is typical for the allergic patient, to the preferred synthesis of IgG antibodies (TH1-controlled),
- 35 - and, as a result, the condition of the patients can be expected to be markedly improved when they are treated with the novel, modified recombinant allergens.

The invention relates to modified recombinant allergens which are derived from allergens which are obtained



from natural raw materials by extraction. Pollen grains from Gramineae, such as Phleum pratense, Lolium perenne, Dactylus glomerata, Poa pratensis, Cynodon dactylon and Holcus lanatus, inter alia, are used as the natural raw material. In particular, the invention relates to modified recombinant allergens which are derived from the main allergens of groups 1 - 6 and whose reactivity with the IgE antibodies of patients who are allergic to grass pollen is eliminated or at least reduced while that with the T lymphocytes is still retained. The modified recombinant allergens differ from the wild type in that the genes for the allergens have been modified by genetic manipulation methods such that the polypeptides which they encode exhibit substitutions, deletions and/or additions of individual or several amino acids as compared with the wild type. At the same time, the dominant T cell-reactive regions of the modified recombinant allergens (T cell epitopes) are not altered by genetic manipulation.

Preferably, the modified recombinant allergens are derived from the main allergens of group 5 or else of group 1. In particular, the novel allergens are derived from the main Phl p 5b allergen.

Using the single-letter code for amino acids, the sequence of Phl p 5b is as follows:

ADAGYAPATPAAAGAAAGKATTEEQKLIEDINVGFKAAVAAAASVPAADK  
 1            10            20            30            40            50  
 FKTFEAAFTSSSKAAAAKAPGLVPKLDAAYSVAYKAAVGATPEAKFDSFV  
 51           60           70           80           90           100  
 ASLTEALRVIAGALEVHAVKPVTEEPGMAKIPAGELQIIDKIDAAFKVAA  
 101          110          120          130    140          150  
 TAAATAPADDKFTVFEEAFNKAIKESTGGAYDTYKCIPSLEAAVKQAYAA  
 151          160          170          180    190          200  
 TVAAAPQVKYAVFEAALTKAITAMSEVQKVSQPATGAATVAAGAATTAAG  
 201          210          220          230          240          250  
 AASGAATVAAGGYKV  
 251          260    265

The invention particularly relates to modified recombi-  
 5   nant allergens in which at least one, or a combination,  
 of the regions 16-42, 135-149 and 180-206 of the  
 Phl p 5b polypeptide, consisting of a total of 265  
 amino acids, is/are not altered. The segments to be  
 preserved are the T cell epitope regions.

10   The said amino acid residues can also be derivatized.  
 Modifications of the side chains are particularly  
 appropriate in this context.

15   The amino acid residue abbreviations which are listed  
 above and below stand for the residues of the following  
 amino acids:

	Ala = A	alanine
	Asn = N	asparagine
20	Asp = D	aspartic acid
	Arg = R	arginine
	Cys = C	cysteine
	Gln = Q	glutamine
	Glu = E	glutamic acid
25	Gly = G	glycine

	His = H	histidine
	Ile = I	isoleucine
	Leu = L	leucine`
	Lys = K	lysine
5	Met = M	methionine
	Phe = F	phenylalanine
	Pro = P	proline
	Ser = S	serine
	Thr = T	threonine
10	Trp = W	tryptophan
	Tyr = Y	tyrosine
	Val = V	valine.

15 In addition, the abbreviations below have the following meanings:

	Ac	acetyl
	BOC	tert-butoxycarbonyl
	CBZ or Z	benzyloxycarbonyl
20	DCCI	dicyclohexylcarbodiimide
	DMF	dimethylformamide
	EDCI	N-ethyl-N,N' - (dimethylaminopropyl) carbodiimide
	Et	ethyl
	FCA	fluoresceincarboxylic acid
25	FITC	fluorescein isothiocyanate
	Fmoc	9-fluorenylmethoxycarbonyl
	HOBt	1-hydroxybenzotriazole
	Me	methyl
	MBHA	4-methylbenzhydrylamine
30	Mtr	4-methoxy-2,3,6-trimethylphenylsulfonyl
	HONSu	N-hydroxysuccinimide
	OBu	tert-butyl ester
	Oct	octanoyl
	OMe	methyl ester
35	OE	ethyl ester
	POA	phenoxyacetyl
	Sal	salicyloyl
	TFA	trifluoroacetic acid
	Trt	trityl (triphenylmethyl).

Insofar as the abovementioned amino acids are able to occur in several enantiomeric forms, all these forms, and also their mixtures (e.g. the DL forms), are included both in that which is stated above and in that which follows. Furthermore, the amino acids can, for example as constituents of compounds, be provided with appropriate protecting groups which are known per se.

So-called prodrug derivatives, i.e. compounds which are modified with, for example, alkyl or acyl groups, sugars or oligopeptides and which are rapidly cleaved in the organism to form the active novel compounds, are also included in the novel compounds.

These prodrugs also include biodegradable polymer derivatives of the novel compounds as described, for example, in Int. J. Pharm. 115, 61-67 (1995).

The novel allergens may possess one or more chiral centres and therefore occur in different stereoisomeric forms. The present invention encompasses all these forms.

Very particular preference is given to modified recombinant allergens which are derived from the following group of polypeptides, which are derived from Phl p 5b:

PM1 ( $N^{32} \rightarrow D$ ,  $D^{49} \rightarrow L$ ,  $K^{50} \rightarrow A$ )  
PM2 ( $D^{49} \rightarrow L$ ,  $K^{50} \rightarrow A$ )  
PM3 ( $A^{13} \rightarrow C$ )  
DM1 ( $\Delta K^{50} \rightarrow P^{\Delta 132}$ ,  $D^{49} \rightarrow L$ )  
DM 2 ( $\Delta F^{51} - G^{178}$ ,  $D^{49} - L$ ,  $K^{50} - A$ )  
DM2\* ( $\Delta F^{51} - G^{178}$ , 179 - 217 altered sequence)  
DM3 ( $\Delta A^{154} - T^{177}$ ,  $A^{220} \rightarrow T$ )

35

In the above sequences, the amino acids or amino acid sequences which are modified are indicated in each case.

In this context, PM1 denotes point mutation 1 and has the following sequence (the amino acids which are replaced as compared with Ph1 p 5b are printed in bold):

**ADAGYAPATPAAAGAAAGKATTEEQKLIEDIDVGFKAAVAAAASVPAALA**  
 1            10            20            30            40            50  
**FKTFEAAFTSSSKAAAAKAPGLVPKLDAAYSVAYKAAVGATPEAKFDSFV**  
 51           60           70           80           90           100  
**ASLTEALRVIAGALEVHAVKPVTEEPGMAKIPAGELQIIDKIDAAFKVAA**  
 101          110          120          130          140          150  
**TAAATAPADDKFTVFEEAFNKAIKESTGGAYDTYKCIPSLEAAVKQAYAA**  
 151          160          170          180          190          200  
**TVAAAPQVKYAVFEAALTKAITAMSEVQKVSQPATGAATVAAGAATTAAG**  
 201          210          220          230          240          250  
**AASGAATVAAGGYKV**  
 251          260          265

5

The other particularly preferred peptides have the following sequences:

10 PM2 ( $D^{49} \rightarrow L$ ,  $K^{50} \rightarrow A$ ):

**ADAGYAPATPAAAGAAAGKATTEEQKLIEDINVGFKAAVAAAASVPAALA**  
 1            10            20            30            40            50  
**FKTFEAAFTSSSKAAAAKAPGLVPKLDAAYSVAYKAAVGATPEAKFDSFV**  
 51           60           70           80           90           100  
**ASLTEALRVIAGALEVHAVKPVTEEPGMAKIPAGELQIIDKIDAAFKVAA**  
 101          110          120          130          140          150  
**TAAATAPADDKFTVFEEAFNKAIKESTGGAYDTYKCIPSLEAAVKQAYAA**  
 151          160          170          180          190          200  
**TVAAAPQVKYAVFEAALTKAITAMSEVQKVSQPATGAATVAAGAATTAAG**  
 201          210          220          230          240          250  
**AASGAATVAAGGYKV**  
 251          260          265

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PM3 ( $A^{13} \rightarrow C$ ) :

ADAGYAPATPAACGAAAGKATTEEQKLIEDINVGFKAAVAAAASVPAADK  
 1 10 20 30 40 50  
 FKTFEAAFTSSSKAAAAKAPGLVPKLDAAYSVAYKAAVGATPEAKFDSFV  
 51 60 70 80 90 100  
 ASLTEALRVIAGALEVHAVKPVTEEPGMAKIPAGELQIIDKIDAFAKVA  
 101 110 120 130 140 150  
 TAAATAPADDKFTVFEEAFNKAIKESTGGAYDTYKCIPSLEAAVKQAYAA  
 151 160 170 180 190 200  
 TVAAAPQVKYAVFEAALTKAITAMSEVQKVSQPATGAATVAAGAATTAAG  
 201 210 220 230 240 250  
 AASGAATVAAGGYKV  
 251 260 265

5 DM1 ( $\Delta K^{50} \rightarrow P^{\Delta 132}, D^{49} \rightarrow L$ ) :

ADAGYAPATPAAAGAAAGKATTEEQKLIEDINVGFKAAVAAAASVPAALA  
 1 10 20 30 40 50  
 GELQIIDKIDAFAKVAATAATAPADDKFTVFEEAFNKAIKESTGGAYDTYK  
 51 60 70 80 90 100  
 CIPSLEAAVKQAYAATVAAAPQVKYAVFEAALTKAITAMSEVQKVSQPATG  
 103 110 120 130 140 150  
 AATVAAGAATTAAGAASGAATVAAGGYKV  
 154 160 170 180

DM 2 ( $\Delta F^{51} - G^{178}, D^{49} - L, K^{50} - A$ ) :

10

ADAGYAPATPAAAGAAAGKATTEEQKLIEDINVGFKAAVAAAASVPAALA  
 1 10 20 30 40 50  
 GAYDTYKCIPSLEAAVKQAYAATVAAAPQVKYAVFEAALTKAITAMSEVQK  
 51 60 70 80 90 100  
 VSQPATGAATVAAGAATTAAGAASGAATVAAGGYKV  
 102 110 120 130 137

09384603-044700

DM2\* ( $\Delta F^{51} - G^{178}$ , 179 - 217 altered sequence):

5 This sequence corresponds to that of DM2 where, however, the amino acids of positions 179 - 217 of the starting peptide Phl p 5b additionally exhibit an altered sequence and all the subsequent amino acids are missing.

10 DM3 ( $\Delta A^{154} - T^{177}$ ,  $A^{220} \rightarrow T$ ):

ADAGYAPATPAAAGAAAGKATTEEQKLIEDINVGFKAAVAAAASVPAADK  
1            10            20            30            40            50  
FKTFEAAFTSSSKAAAAPGLVPKLDAAYSVAYKAAVVGATPEAKFDSFV  
51           60           70           80           90           100  
ASLTEALRVIAGALEVHAVKPVTEEPGMAKIPAGELQIIDKIDAAFKVAA  
101          110          120          130          140          150  
TAAGGAYDTYKCIPSLEAAVKQAYAATVAAAPQVKYAVFEAALTKTITAMS  
151          160          170          180          190          200  
EVQKVSQPATGAATVAAGAATTAAGAASGAATVAAGGYKV  
202          210          220          230          240

15 The invention furthermore relates to a process for preparing modified recombinant allergens by using the polymerase chain reaction and/or its variants. When the peptide sequence is known, the allergens can also be prepared by means of methods of peptide synthesis which are known per se, e.g. the modified Merrifield technique, as described in the literature (e.g. in the  
20 standard works such as Houben-Weyl, Methoden der organischen Chemie (Methods of Organic Chemistry), Georg-Thieme-Verlag, Stuttgart;), under reaction conditions which are known and are suitable for the said reactions. In this context, use can also be made of  
25 variants which are known per se but which are not mentioned here in detail. It is furthermore possible to liberate the peptides from one of their functional

derivatives by treating the latter with a solvolyzing or hydrogenolyzing agent, and/or convert a basic or acidic peptide into one of its salts or solvates by treating it with an acid or base.

5

Preferred starting compounds for the solvolysis or hydrogenolysis are those which, in place of one or more free amino and/or hydroxyl groups contain corresponding protected amino and/or hydroxyl groups, preferably those  
10 which, in place of an H atom which is connected to an N atom, carry an amino protecting group, e.g. those which, in place of an  $\text{NH}_2$  group, contain an  $\text{NHR}'$  group (in which  $\text{R}'$  is an amino protecting group, e.g. BOC or CBZ).

15

Starting compounds are also preferred which, in place of the H atom of a hydroxyl group, carry a hydroxyl protecting group, e.g. those which, in place of a hydroxyphenyl group, contain an  $\text{R}''\text{O}$ -phenyl group (in  
20 which  $\text{R}''$  is a hydroxyl protecting group).

Several - identical or different - protected amino groups and/or hydroxyl groups may also be present in the molecule of the starting compound. If the  
25 protecting groups which are present are different from each other, they can in many cases be eliminated selectively.

30 The expression "amino protecting group" is known generally and refers to groups which are suitable for protecting (blocking) an amino group from chemical reactions but which can be removed readily after the desired chemical reaction has been carried out at other  
35 sites of the molecule. Typical groups of this nature are, in particular, unsubstituted or substituted acyl, aryl, aralkoxymethyl or aralkyl groups. Since the amino protecting groups are removed after the desired reaction (or reaction sequence) has taken place, their



nature and size is otherwise not critical; however, those amino protecting groups are preferred which have 1-20, in particular 1-8, C atoms. In connection with the present process, the expression "acyl group" is to be interpreted in the widest possible sense. It encompasses acyl groups which are derived from aliphatic, araliphatic, aromatic or heterocyclic carboxylic acids or sulfonic acids, and, in particular, alkoxycarbonyl, aryloxycarbonyl and, especially, aralkoxycarbonyl groups. Examples of acyl groups of this nature are alkanoyl, such as acetyl, propionyl or butyryl; aralkanoyl, such as phenylacetyl; aroyl, such as benzoyl or toluoyl; aryloxyalkanoyl, such as POA; alkoxycarbonyl, such as methoxycarbonyl, ethoxycarbonyl, 2,2,2-trichloroethoxycarbonyl, BOC or 2-iodoethoxycarbonyl; aralkyloxycarbonyl, such as CBZ ("carbo-benzoyl"), 4-methoxybenzyloxycarbonyl or FMOC; aryl-sulfonyl, such as Mtr. Preferred amino protecting groups are BOC and Mtr, and also CBZ, Fmoc, benzyl and acetyl.

The expression "hydroxyl protecting group" is likewise known generally and refers to groups which are suitable for protecting a hydroxyl group from chemical reactions but which can readily be removed after the desired chemical reaction has been carried out at other sites of the molecule. Typical groups of this nature are the abovementioned unsubstituted or substituted aryl, aralkyl or acyl groups and also alkyl groups. The nature and size of the hydroxyl protecting groups is not critical since they are removed once again after the desired chemical reaction or reaction sequence has taken place; groups having 1-20, in particular 1-10, C atoms are preferred. Examples of hydroxyl protecting groups are, inter alia, benzyl, p-nitrobenzoyl, p-toluene-sulfonyl, tert-butyl and acetyl, with benzyl and tert-butyl being particularly preferred. The COOH groups in aspartic acid and glutamic acid are preferably protected in the form of their tert-butyl esters (e.g. Asp(OBut)).

Depending on the protecting group employed, the compounds are liberated from their functional derivatives using, for example, strong acids, expediently using TFA or perchloric acid, but also using other strong inorganic acids, such as hydrochloric acid or sulfuric acid, strong organic carboxylic acids, such as trichloroacetic acid, or sulfonic acids, such as benzenesulfonic acid or p-toluenesulfonic acid. It is possible, but not always necessary, for a supplementary inert solvent to be present. Preferred suitable inert solvents are organic, for example carboxylic acids, such as acetic acid, ethers, such as tetrahydrofuran or dioxane, amides, such as DMF, halogenated hydrocarbons, such as dichloromethane, and, in addition, also alcohols, such as methanol, ethanol or isopropanol, and water. Mixtures of the abovementioned solvents are also suitable. TFA is preferably used in excess without the addition of another solvent; perchloric acid is used in the form of a mixture consisting of acetic acid and 70% perchloric acid in a ratio of 9:1. The reaction temperatures for the cleavage are expediently between about 0° and 50°; the reaction is preferably carried out between 15 and 30° or room temperature.

The BOC, OBut and Mtr groups can, for example, be preferably eliminated using TFA in dichloromethane or using approximately 3 to 5N HCl in dioxane at 15-30°; the FMOC group can be eliminated using an approximately 5 to 50% solution of dimethylamine, diethylamine or piperidine in DMF at 15-30°.

The trityl group is employed for protecting the amino acids histidine, asparagine, glutamine and cysteine. Depending on the desired end product, it is eliminated using TFA/10% thiophenol, with the trityl group being eliminated from all the amino acids mentioned, or using TFA/anisole or TFA/thioanisole, in which case the

trityl group is only eliminated from His, Asn and Gln and remains on the Cys side chain.

Protecting groups which can be removed hydrogenolytically (e.g. CBZ or benzyl) can be eliminated, for example, by treatment with hydrogen in the presence of a catalyst (e.g. a precious metal catalyst such as palladium, expediently on a support such as carbon). Suitable solvents in this context are the abovementioned solvents, in particular, for example, alcohols, such as methanol or ethanol, or amides, such as DMF. As a rule, the hydrogenolysis is carried out at temperatures of between about 0 and 100° and under pressures of between about 1 and 200 bar, preferably at 20-30° and under 1-10 bar. Hydrogenolysis of the CBZ group is, for example, effected satisfactorily on 5 to 10% Pd/C in methanol or using ammonium formate (instead of hydrogen) on Pd/C in methanol/DMF at 20-30°.

An acid can be used to convert a base into the affiliated acid addition salt, for example by reacting equivalent quantities of the base and the acid in an inert solvent, such as ethanol, and then concentrating by evaporation. Acids which yield physiologically harmless salts are particularly suitable for this reaction. Thus, use can be made of inorganic acids, for example sulfuric acid, nitric acid, hydrohalic acids, such as hydrochloric acid or hydrobromic acid, phosphoric acids, such as orthophosphoric acid, or sulfamic acid, and also organic acids, in particular aliphatic, alicyclic, araliphatic, aromatic or heterocyclic monobasic or polybasic carboxylic, sulfonic or sulfuric acids, for example formic acid, acetic acid, propionic acid, pivalic acid, diethylacetic acid, malonic acid, succinic acid, pimelic acid, fumaric acid, maleic acid, lactic acid, tartaric acid, malic acid, citric acid, gluconic acid, ascorbic acid, nicotinic acid, isonicotinic acid, methanesulfonic or ethanesulfonic acid, ethanedisulfonic acid, 2-hydroxyethanesulfonic acid, benzenesulfonic acid, p-toluenesulfonic acid, naphtha-

lenemono- and disulfonic acids and laurylsulfuric acid. Salts with acids which are not physiologically harmless, e.g. picrates, may be used for isolating and/or purifying the compounds of the formula I.

5

On the other hand, an acid of the formula I can be converted into one of its physiologically harmless metal or ammonium salts by reacting it with a base. In this context, suitable salts are, in particular, the  
10 sodium, potassium, magnesium, calcium and ammonium salts, and also substituted ammonium salts, e.g. the dimethyl-, monoethyl-, diethyl- or diisopropyl-ammonium salts, cyclohexyl- or dicyclohexyl-ammonium salts, or dibenzylethylenediammonium salts, and, furthermore,  
15 salts with arginine or lysine, for example.

The following steps are necessary for ascertaining the DNA or amino acid sequences:

20 The allergenic constituents of the extracts, which have been prepared by means of customary methods, are identified and their important physicochemical parameters are characterized. Constituents are identified as being allergens by demonstrating their ability to  
25 bind to the IgE antibodies of allergic patients. As a rule, this is done using methods which are known per se, such as SDS-PAGE, isoelectrofocusing and then Western blotting with sera from allergic patients, with only the binding antibodies of the IgE isotype being  
30 developed. In this context, care has to be taken to ensure that an adequately large number of types of clinically verified allergic patients (a value of 20 should be set as being the lowest number) are used. Other methods, such as CIE or CRIE, can also be used as  
35 alternatives.

These Gramineae pollen allergens which have been identified and characterized in this way can be

prepared analytically such that it is possible to carry out an N-terminal amino acid determination. Furthermore, the allergens can also be purified biochemically and used for preparing monoclonal antibodies. These monoclonal antibodies can, like the IgE antibodies in the sera of allergic patients, be used for the immunological identification and characterization of the allergens from natural sources or of the molecules which are prepared by the recombinant technique.

On the basis of this information on allergens and the means for identifying them, it is possible to clone the allergens using known genetic manipulation methods and to express them as recombinant allergens. The DNA clones of the recombinant allergens which have been isolated and characterized using customary methods are the basis for the modifications which are carried out by means of genetic manipulation and which give rise to the novel, modified recombinantly prepared allergen molecules.

In order to ensure the reactivity of the novel, modified recombinant allergens, it is also necessary to identify the T cell epitopes.

The basis for this is knowledge of the amino acid sequence of the allergen in question or of the corresponding, underlying DNA sequence. As a rule, the amino acid sequence is deduced from the DNA sequence of the recombinant allergens. Consequently, within the context of this invention, the affiliated DNA sequences for every peptide sequence cited are also included, even when these DNA sequences are not explicitly disclosed since they can be derived from the peptide sequences in a known and simple manner.

Based on the amino acid sequence, a series of overlapping oligopeptides is prepared by means of customary

methods, such as solid phase synthesis using modified Merrifield techniques, with the entire sequence of the allergens being covered. Oligopeptides having in each case 6-20, preferably 9-15, amino acid residues may suitably be prepared in this context. Dodecapeptides which are offset by in each case 3 amino acids and which cover the entire sequence of the respective allergen in an overlapping manner are very particularly suitable.

In order to identify the T cell epitopes, T cell clones from patients who are allergic to Gramineae pollen are established by repeated stimulation with the purified, natural or recombinantly prepared allergen in question using the customary method (Lit.). For this, it is necessary to establish a representative number of T cell clones which derive from a sufficiently large number of donors.

These T cell clones are incubated with the above-described overlapping peptides and the ability of the latter to stimulate the T cells to proliferate is tested. The proliferation is determined by incorporating [<sup>3</sup>H]-thymidine by means of methods which are customary per se. Those oligopeptides which induce adequate proliferation of the T cell clones are then regarded as peptide ligands which correspond to the T cell epitopes. The T cell epitopes which have been determined in this manner are used to define T cell-reactive regions of the allergens which, for their part, constitute the basis for constructing the novel modified recombinant allergens.

In order to ensure that modified recombinant allergens react with the T lymphocytes which are found in allergic patients, the primary structures of the T cell-reactive regions which encompass the immunodominant T cell epitopes are partially or completely excluded from alterations.

Genetic manipulation is used to perform mutations in the DNA sequences underlying the remaining regions of the polypeptides (allergens) in order to produce an altered primary structure. This altered primary structure destroys or limits the ability of sequence-dependent continuous B cell epitopes to bind to the IgE antibodies and, due to the formation of a modified tertiary structure as a consequence of the primary modification, completely or partially abolishes the ability of conformation-dependent, possibly discontinuous epitopes to react with their antibodies.

The mutations can be replacements of individual or several amino acids outside the T cell-reactive regions. Such point mutations are introduced into the DNA, which, for example, encodes rPhl p 5b, by means of site-specific mutagenesis using the polymerase chain reaction (PCR). The plasmid pGS13, an expression vector (pMalc) which contains the cDNA for rPhl p 5b, can be used as the template in this context. Gene-specific primers which contain appropriate base replacements and also a new restriction site (Nhe I or Sph I) are used for the PCR. The fragments which are amplified in the PCR and which carry the mutation are ligated one after the other into a cloning vector and the complete product is then recloned into the pMalc expression vector.

Furthermore, mutations can be performed by means of differentially arranged deletions. In order to prepare the deletion mutants, truncated 3'-terminal fragments of the cDNA of rPhl p 5b are prepared in a PCR using gene-specific primers. Relatively large 3'-terminal fragments are removed from the starting vectors (pGS12 or pGS13) by means of restriction at internal cleavage sites and the fragments which were amplified in the PCR, and which are in each case smaller, are ligated in to replace them.

In an analogous manner, mutations involving additions of one or more amino acids can be produced by inserting additional DNA fragments.

5 The DNA clones which have been mutated by means of genetic manipulation and which encode modified recombinant allergens are recloned into suitable expression vectors and expressed in suitable host organisms. The fusion proteins are purified in a customary manner from  
10 the supernatants or disruptions of these host organisms and, after the fusion moiety has been eliminated, the modified recombinant allergens are prepared in the pure state using customary biochemical methods. It is important that the modified recombinant allergens be  
15 used for further tests as pure components which correspond to the natural allergens.

The effects of the induced mutations on the allergenicity, i.e. the ability to bind to the IgE antibodies of  
20 allergic patients, of the modified recombinant allergens is determined qualitatively and quantitatively by means of the EAST inhibition test. This assay shows whether a substance to be tested (modified recombinant allergen) is identical to, or different from, the natural  
25 allergen and/or the recombinant wild type. The extent of the immunochemical relatedness (cross reactivity) can also be quantified. This EAST inhibition test only takes the reaction with IgE antibodies into account.

30 Those modified recombinant allergen variants which exhibit an inhibitory effect, measured as  $P_{rel}$  at 50% inhibition, which is decreased at least by a factor of  $10^2$  as compared with the natural allergen and/or recombinant wild type are selected as being suitable.

35 The modified recombinant allergen variants which have been selected in this way are checked to see whether their T cell reactivity has in fact been retained. For this, a set of T cell clones which react with epitopes



- 21 -

in the T cell-reactive regions are taken for testing in the first phase.

Only those modified recombinant allergens which stimulate the selected clones to proliferate are taken into consideration.

In the second phase, oligoclonal T cell lines, which have been established by repeated stimulation with the relevant allergens, are employed for the testing. Once again, only those modified recombinant allergens which at least give rise to a stimulation index (SI) of 50% of the SI of the wild type are taken into consideration.

In the third phase, polyclonal short-term T cell cultures from the peripheral blood of allergic patients are employed for testing.

Apart from the binding of the allergen to the spec. IgE, the allergen-induced, IgE-mediated release of histamine by allergic effect or cells is of pathophysiological importance for the allergic reaction (side effect). The reactivity of the effector cells (basophils and mast cells) and the epitope specificity of the IgE antibodies which are bound by way of FcεRI are also of importance in this context. For this reason, the modified recombinant allergen variants are tested for their ability to induce histamine release by the degranulation of IgE-loaded basophils which are isolated from the blood of allergic patients. In this functional test, the modified recombinant allergen variants which have been selected in accordance with the above selection regime have to exhibit a markedly reduced ability to release histamine.

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The modified recombinant allergens which meet these requirements ensure reactivity with the majority of the TH cells which have a regulatory effect and, due to their diminished IgE reactivity, possess the requisite

properties for being employed as therapeutic agents for the allergen-specific immunotherapy (hyposensitization) of patients who are allergic to Gramineae pollen.

5 The invention furthermore relates to pharmaceutical preparations which comprise one or more modified recombinant allergen(s) according to the present invention, and/or one of their physiologically harmless salts or solvates, and also, where appropriate, additional  
10 active compounds and/or auxiliary substances, for treating IgE-mediated allergies.

The invention furthermore relates to a process for producing pharmaceutical preparations, with at least  
15 one modified recombinant allergen and/or one of its physiologically harmless salts or solvates being brought into a suitable dosage form together with at least one solid, liquid or semiliquid carrier substance or auxiliary substance.

20 The invention furthermore relates to the use of the modified recombinant allergens and/or their physiologically harmless salts or solvates for producing pharmaceutical preparations, in particular by a non-  
25 chemical route. In this context, they can be brought into a suitable dosage form together with at least one solid, liquid and/or semiliquid carrier substance or auxiliary substance and, where appropriate, in combination with one or more additional active compound(s).  
30 The pharmaceuticals are used for immunospecific therapy, i.e. for hyposensitization in association with allergies. It is likewise possible to conceive of using the modified recombinant allergens directly for the immunospecific therapy (hyposensitization) of  
35 allergies.

These preparations can be used in human or veterinary medicine as pharmaceuticals. Suitable carrier substances are organic or inorganic substances which are

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In this context, the novel substances can, as a rule, be administered in analogy with other known, commercially available peptides, in particular, however, in analogy with the compounds which are described in US-A-4 472 305, and are preferably administered in doses of between about 0.05 and 500 mg, in particular of between 0.5 and 100 mg, per dosage unit. The daily dose is preferably between about 0.01 and 2 mg/kg of bodyweight. However, the special dose for each patient depends on a very wide variety of factors, for example on the efficacy of the special compound employed, on the age, bodyweight, general state of health and sex of the patient, on the diet, on the time and route of administration, on the rate of excretion, on the medicinal combination and on the severity of the particular disease to which the therapy applies. Parenteral administration is preferred.

In that which has been stated above, and in that which follows, all temperatures are given in °C. In order to isolate the products, water is added, if necessary, and the mixture is adjusted, if necessary, depending on the constitution of the end product, to pH values of between 2 and 10 and extracted with ethyl acetate or dichloromethane; the phases are separated and the organic phase is dried over sodium sulfate and concentrated by evaporation; the residue is then purified by chromatography on silica gel and/or by means of crystallization.

#### Example 1

**Identification of the T cell epitopes for determining the T cell-reactive regions of the main grass pollen allergen Phl p5**

Patients who had case histories of the typical symptomatology of a grass pollen allergy (rhinitis) and who gave a positive skin test (prick test) were selected

for establishing T cell lines (TCL) and T cell clones (TCC) which react with the main group 5 grass pollen allergen of timothy grass (*Phleum pratense*) Phl p5. These patients had circulating specific IgE antibodies with a RAST class  $\geq 3$ .

40 ml of heparinized blood were obtained from each patient. Peripheral mononuclear cells (PBMC) were then isolated from this blood sample by means of the customary method using density gradient centrifugation. Analogous cell isolations were carried out at a later stage when it was necessary to obtain irradiated autologous antigen-presenting cells (APC) for characterizing the TCL and TCC further. After the PBMC had been counted, TCL which reacted to group 5 allergens in vitro were established as follows and as has already been described in detail elsewhere (Lit. 1): in each well of 24-well microculture plates, from  $1.5$  to  $2.0 \times 10^6$  PBMC in 1 ml of culture medium (UltraCulture) were stimulated for 7 days in the added presence of natural Phl p5 allergens (in each case  $10 \mu\text{g}/\text{well}$ ) which had been purified by immunoaffinity chromatography. A total of from 8 to 10 of these cultures were set up. The isolation of Phl p5 by means of immunoaffinity chromatography has been described in detail (Lit. 2). At the end of the 7 days of culture, IL-2 (from 10 to 20 IU/well) was added to the cell cultures for a further 5 to 7 days. All the individual cultures were then pooled and the T cell blasts were enriched by means of density gradient centrifugation; the TCL which were obtained were then tested in a specific lymphocyte proliferation test (see Lit. 1 as well). For this,  $2 \times 10^4/\text{ml}$  TCL blasts were in each case cultured with  $5 \times 10^4/\text{ml}$  irradiated autologous APCs in triplicate samples in 96-well microculture plates. 10 - 20  $\mu\text{g}$  of Phl p5 allergen were added as the specific antigen stimulus. After 56 hours of incubation,  $^3\text{H}$ -labelled thymidine ( $1 \mu\text{Ci}/\text{well}$ ) was pipetted into the microcultures. After a further 16 hours, the

radioactivity which had been incorporated into the proliferating T cell blasts was measured in a beta counter (Matrix 96). The results were calculated, as the arithmetic mean of the multiple samples, in counts per minute (cpm). The criterion for the quality of the TCL was the stimulation index, which was obtained by relating the cpm values with Phl p5 addition to those without Phl p5 addition.

After they had been selected, the TCLs were cloned (see Lit. 1). For this, 0.3 [lacuna] of TCL blasts/well were cultured in a final volume of 0.2 ml in 96-well microculture plates (round-bottomed) in the added presence of irradiated allogenic PBMC ( $5 \times 10^4$ /well), PHA (1.5 g/ml) and IL-2 (25 IU/ml). After 12 to 14 days, the cultures were fed with fresh irradiated PBMC, PHA and IL-2. In addition, a medium replacement, with addition of IL-2 (25 IU/ml), was carried out every 4 to 5 days. An approx. 10 day period without adding irradiated allogenic PBMC elapsed before the Phl p5-specific proliferation test was carried out. The selected TCC were then multiplied in 24-well microculture plates by being repeatedly stimulated with PHA, irradiated allogenic PBMC and IL-2 (50 IU/ml).

After a TCL had been cloned (see below), the specificity of the isolated TCCs was determined as has just been described. Stimulation indices of at least 5 were rated as being positive for the TCCs. The determination of T cell epitopes for defining the T cell-reactive regions on group 5 allergens was also carried out using specific proliferation tests, with 1-2  $\mu$ g of synthesized dodecapeptide/ml being used for this purpose in each case (see below).

A total of 86 overlapping synthetic dodecapeptides, which were prepared on the basis of the known primary structure of the Phl p 5b allergen as determined by Bufe et al. (Lit. 3), were used for determining the T

cell epitopes. These peptides were prepared using a commercial synthesis kit supplied by CHIRON Mimotopes Peptide Systems/Clayton, Australia. The amino acid sequences of these peptides had a degree of overlap of 9 amino acids (Tab. 1). The reaction of TCC to one of the peptides used in the specific proliferation test was assessed as being positive when the calculated stimulation index was at least 5.

10 TCCs from 18 patients who were allergic to grass pollen were included in the investigations. From these, success was achieved in isolating 54 T cell clones which reacted specifically with the dodecapeptides which were based on the Phl p 5b sequence. Analysis of  
15 these TCCs shows that recognition of peptide ligands is clearly concentrated in 3 immunodominant T cell-reactive regions. Of the 54 T cell clones, 46, corresponding to 85%, react with the peptides of the 3 immunodominant T cell-reactive regions A, B and C of  
20 Phl p 5b (Tab. 1a). Only 8 T cell clones reacted with 5 different peptide ligands, with 3 peptides in each case being recognized by 2 different clones. The immunodominant T cell-reactive region A encompasses a peptide (27mer) which corresponds to positions 181-207 and  
25 which has a core region consisting of amino acids 181-195. 28 of the 54 Phl p 5b-reactive TCCs, corresponding to 51%, only react with this immunodominant region A.

9 (17%) and 9 (17%) of the T cell clones react with the  
30 T cell-reactive regions C (position 16-48; 33mer) and B (position 133-150), respectively. This concentration of the TH cells of the investigated panel of allergic patients on the recognition of 3 immunodominant T cell-reactive regions of the main allergen Phl p 5b makes it  
35 possible to construct Phl p 5b mutants in which these regions are not affected by the point mutations, deletion mutations or addition mutations. This creates the prerequisite for these allergen mutants to react specifically with the allergen-reactive TH cells which are

present in allergic patients and to exert a therapeutic influence on these cells.

Tab. 1: Dodecapeptides which are based on the Phl p 5b  
5 sequence and which are used for determining the  
T cell-reactive regions

1	ADAGYAPATPAA	44	KIPAGELQIIDK
2	GYAPATPAAAGA	45	AGELQIIDKIDA
3	PATPAAAGAAAG	46	LOIIDKIDAAFK
4	PAAAGAAAGKAT	47	IDKIDAAFKVAA
5	AGAAAGKATTEE	48	IDAAFKVAATAA
6	AAGKATTEEQKL	49	AFKVAATAAATA
7	KATTEEOKLIED	50	VAATAAATAPAD
8	TEEQKLIEDINV	51	TAAATAPADDKF
9	QKLIEDINVGFK	52	ATAPADDKFTVF
10	IEDINVGFKAHV	53	PADDKFTVFEEA
11	INVGFKAHVAAA	54	DKFTVFEEAFNK
12	GFKAHVAAAASV	55	TVFEAAFNKAIK
13	AAVAAAASVPAA	56	EEAFNKAIKEST
14	AAAASVPAADKF	57	FNKAIKESTGGA
15	ASVPAADKFKTF	58	AIKESTGGAYDT
16	PAADKFKTFEAA	59	ESTGGAYDTYKC
17	DKFKTFEAAFTS	60	GGAYDTYKCIPS
18	KTFEAAFTSSSK	61	YDTYKCIPSLEA
19	EAAFTSSSKAAA	62	YKCIPSLEAAVK
20	FTSSSKAAAAKA	63	IPSLEAAVKQAY
21	SSKAAAAKAPGL	64	LEAAVKOAYAAT
22	AAAAKAPGLVPK	65	AVKQYAATYAA
23	AKAPGLVPKLDA	66	QAYAATVAAAPQ
24	PGLVPKLDAAYS	67	AATVAAAPQVKY
25	VPKLDAAYSVAY	68	VAAAPQVKYAVF
26	LDAAYSVAYKAA	69	APQVKYAVFEAA
27	AYSVAYKAAVGA	70	VKYAVFEAALTK
28	VAYKAAVGATPE	71	AVFEAALTKAIT
29	KAAVGATPEAKF	72	EAALTKAITAMS
30	VGATPEAKFDSF	73	LTKAITAMSEVQ
31	TPEAKFDSFVAS	74	AITAMSEVQKVS
32	AKFDSFVASLTE	75	AMSEVQKVSQPA
33	DSFVASLTEALR	76	EVOKVSOPATGA
34	VASLTEALRVIA	77	KVSQPATGAATV
35	LTEALRVIAAGAL	78	QPATGAATVAAG
36	ALRVIAGALEVH	79	TGAATVAAGAAT
37	VIAGALEVHAVK	80	ATVAAGAATTAA
38	GALEVHAVKPV	81	AAGAATTAAAGAA
39	EVHAVKPVTEEP	82	AATTAAGAASGA
40	AVKPVTEEPGMA	83	TAAGAASGAATV
41	PVTEEPGMAKIP	84	GAASGAATVAAG
42	EEPGMAKIPAGE	85	SGAATVAAGGYK
43	GMAKIPAGELOI	86	GAATVAAGGYKV

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Tab. 1a: Mapping the T cell-reactive regions of the main grass pollen allergen Phl p 5

TCC	Stimulating peptide ligands (12mers)	Immunodominant T cell-reactive region			Minor epitope
		A	B	C	
DW 8	139-150		+		
DW 14	196-207	+			
DW 16	181-192, 184-195	+			
DW 23	181-192	+			
DW 25	181-192, 184-195	+			
DW 28	184-195	+			
CBH 1	211-222, 214-225				+
CBH 10	211-222				+
JR 6a	22-33, 25-36			+	
JR 6b	136-147, 139-150		+		
JR 7a	28-39, 31-42			+	
JR 7b	136-147, 139-150		+		
JR 9	181-192, 184-195	+			
JR 10	19-30			+	
JR 11	49-60				+
JR 13	181-192, 184-195	+			
JR 15	181-192, 184-195	+			
JR 19a	31-42			+	
JR 19b	136-147		+		
JR 24	97-108, 100-111				+
JR 25	181-192, 184-195	+			
JR 27	184-195	+			
KS 1	181-192, 194-195	+			
KS 2	181-192, 194-195	+			
KS 3	181-192, 194, 195	+			
KS 4	181-192, 194-195	+			
KS 5	181-192, 194, 195	+			
KSE 18	43-54				+
UD 6	112-123				+
GE 4	136-147, 139-150		+		
GE 7	136-147		+		
GE 12	37-48			+	
AS 4	181-192, 184-195	+			
AS 5	181-192, 184-195	+			
UZH 2	136-147, 139-15		+		
UZ 25	97-108				+

CB 1	190-201, 193-204	+			
CB 2	181-192, 184-195	+			
CB 7	25-36			+	
CB 10	181-192, 184-195	+			
CB 14	181-192	+			
MF 11	184-195	+			
AH 19	16-27			+	
AH 26	139-150		+		
JMD 3	133-144		+		
45		A22	9B	7c	7
II 3.2A 12	31-42			+	
II 12.7F11	196-207	+			
II 12.5C10	187-198	+			
II 17.9E5	184-195	+			
II 17.1D8	184-195	+			
II 17.11C2	184-195	+			
II 17.19A1	193-204	+			
II 17.12F5	25-36			+	
II 17.3C10	49-60, 52-63				+
54		28	9	9	8

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Example 2

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Preparation of point mutants PM1, PM2 ( $D^{48} \rightarrow L$ ,  $K^{50} \rightarrow A$ )  
and PM3 ( $A^{13} \rightarrow C$ ) of rPhl p 5b

PM2:

25

Plasmid pGS13 was used as the starting vector. This is  
the pMalc vector (Biolabs) which contains the cDNA for  
the wt rPhl p 5b which is cloned between Bam HI and  
Hind III sites. Fragments 1 (bp: 1 - 153) and 2 (bp:  
30 141 - 1374) of the cDNA for the rPhl p 5b were  
amplified in a PCR reaction. The following primers  
(restriction sites are underlined) were used for this  
reaction:

35 Fragment 1:

Phl p 5b sense:

5' -ATATG G A T C C A T C G A G G G A A G G G C C G A T G C C G G C T A C G C C-3'

MP1 antisense:

5'-GAACGCTAGCGCCGCAGGGACGCTGGC-3'

Fragment 2:

5

MP1 sense:

5'-GCGCTAGCGTTCAAGACCTTCGAG-3'

Phl p 5b antisense:

10 5'-ATATAAGCTTTCCTCTGAAGGAAGGCAACCC-3'

As compared with the wt sequence, the two mutagenesis primers MP1 sense and MP1 antisense contain 6 base replacements which additionally give rise to a new restriction cleavage site for the enzyme Nhe I.

15

The amplified fragment 1 was digested with Bam HI and Nhe I and cloned into vector pUH89 (Jekel et al., Gene: 154, 55-59; 1995). The resulting plasmid, pGS10, was restricted once again with Nhe I/Hind III, and fragment 2 (Nhe I/Hind III) was incorporated into these cleavage sites. This plasmid, pGS11, comprises the complete cDNA encoding rPhl p 5b but containing the desired base replacements. In order to express the point mutant rPhl p 5b PM2, the mutated cDNA was recloned between the Bam HI and Hind III cleavage sites of the expression vector pMalc. The resulting plasmid was designated pGS21.

20

25

30 The point mutant rPhl p 5b PM1 was prepared in analogy with PM2. It contains, as the result of a PCR error, an additional point mutation: N<sup>32</sup> → D.

In order to clone this point mutant, the entire cDNA for rPhl p 5b in vector pGS13 was amplified in a PCR using the following primers.

35

PCysM1:

5' ATATGGATCCATCGAGGGTAGGGCCGATGCCGGCTACGCCCCGGC  
CACCCCGGCTGCATGCGGAGCG-3'

Phl p 5b antisense: see above.

As compared with the wt sequence, the mutagenesis primer PCysM1 contains 3 base substitutions which lead to an alanine residue being replaced with a cysteine residue and which at the same time give rise to a new restriction cleavage site for the enzyme Sph I. The PCR product was cloned directly into the pMalc expression vector (Bam HI/Hind III). The resulting vector was designated pCysM1. The success of the mutagenesis was checked in a restriction analysis using Sph I.

### Example 3

15 Preparation of the deletion mutants DM1 ( $\Delta K^{50} - P^{132}$ ,  $D^{49} \rightarrow L$ ), DM2 ( $\Delta F^{51} - G^{178}$ ,  $D^{49} \rightarrow L$ ,  $K^{50} \rightarrow A$ ) and DM3 ( $\Delta A^{154} - T^{177}$ ,  $A^{220} \rightarrow T$ )

Plasmid pGS21 (see above) was used as the starting vector for cloning the deletion mutant DM1. The bp 399 - 1374 fragment of the cDNA for rPhl p 5b was amplified in a PCR using the following primers:

MP2 sense:

25 5' - GCTAGCCGCGAGCTGCAGATCATCG - 3'

Phl p 5b antisense: see above.

Vector pGS21 was restricted with Nhe I and Bam HI and separated from the excised fragment. The PCR product, which had also been restricted with Nhe I and Bam HI, was then ligated into the residual vector. The vector which resulted from this, i.e. pDM1, contains the rPhl p 5b cDNA which has a deletion of 252 bp and which encodes the deletion mutant rPhl p 5bDM1. Deletion mutants DM2 and DM3 were prepared in an analogous manner.

Example 4

Use of the EAST inhibition test to demonstrate the diminished allergenicity (IgE reactivity) of the recombinant Phl p 5b mutants

The binding of the allergens by the IgE antibodies is the basic prerequisite for the allergen-specific activation of the effector cells (mast cells, basophils, inter alia) in type I allergy. The allergen-specific inhibition of the enzyme/allergen sorbent test (EAST) is the best means for qualitatively and quantitatively recording the binding of the allergens to IgE antibodies. The EAST inhibition test is carried out as follows. Microtitre plates are coated with allergen (natural or recombinant Phl p 5 or Phl p 5b) ( $1 \mu\text{g/ml}$ ). After the unbound allergen molecules have been removed by washing, non-specific plastic binding sites are blocked with bovine serum albumin (0.5%). Anti-IgE from allergic patients, as a representative pool of 10-30 donors or as an individual serum, is incubated, in a suitable dilution, with the allergen-coated microtitre plates. The bound allergen-specific IgE antibodies are quantified using enzyme-coupled anti-IgE antibodies (e.g. alk. phosphatase-a-IgE antibodies). This binding is inhibited by soluble allergen or the substance to be tested (allergen mutants) in dependence on the concentration. The inhibition curve obtained with the purified natural allergen Phl p 5b is used as the reference.

The inhibition curves depicted in Fig. 1 are obtained with the representative allergen patient serum pool Bor 18/100 (20 donors).

rPhl p 5b (wild type) and PM3 exhibit binding curves which are similar to that obtained with natural Phl p 5b which has been purified by affinity chromatography. Minor differences are visible due to a better

inhibitory effect in the lower range and to poorer inhibition at high concentrations. While the reason for this is unknown, it might be accounted for by confirmational epitopes which differ to a minor extent.

5

Point mutant PM1 exhibits this effect in the higher range to a somewhat greater degree. The deletion mutants DM1 and DM3 exhibit a markedly decreased inhibitory effect. This substantiates the strongly  
10 reduced allergenicity of these allergen mutants, which, as a consequence, are comparable with chemically modified allergens (allergoids).

Deletion mutants DM2 and DM2\* exhibit an extremely low  
15 inhibitory effect on the allergen-IgE reaction. This shows that the allergenicity of these mutants has to a large extent been eliminated. While a different serum pool from allergic patients (We 6/97) and also the individual sera from allergic patients II3, II12 and  
20 II17 exhibit slight variations in their inhibitory curves with the mutants, they nevertheless confirm that deletion mutants DM1 and DM3 exhibit greatly reduced allergenicity (Figs. 2 - 5). Apart from a low residual activity, the inhibitory effect of deletion mutants DM2  
25 and DM2\* is eliminated. Point mutations PM1 and PM3 exhibit either no reduction, or only a reduction which is for the most part slight, in allergenicity (e.g. PM1 with pool We 6/97 and individual serum II 17). The inhibitory capacity of the modified allergens can be  
30 quantified by calculating the Prel values at 25% or 50% inhibition (1). The corresponding inhibitory values, and also the allergenic potency (Prel) measured at 25 or 50% inhibition, are shown for the serum pools and the individual sera in Tables 2 - 6.

35

Deletion mutants DM2 and DM2\* show their loss of allergenicity by their Prel values, which are extremely low or can no longer be determined in a meaningful manner. While point mutations PM1 and PM3 exhibit a partial

loss of allergenicity, this loss is not adequate for practical use. Deletion mutants DM1 and DM3 exhibit a marked reduction in allergenicity. The reduction in IgE reactivity is superior to, or comparable with, that of the previously known chemically modified allergens and thereby makes these mutants particularly suitable candidates for immunotherapy.

## Literature

10

Anderson MC and Baer H: Methodology for RAST inhibition. Food and Drug Administration, Bethesda, Maryland, U.S.A. (1986).



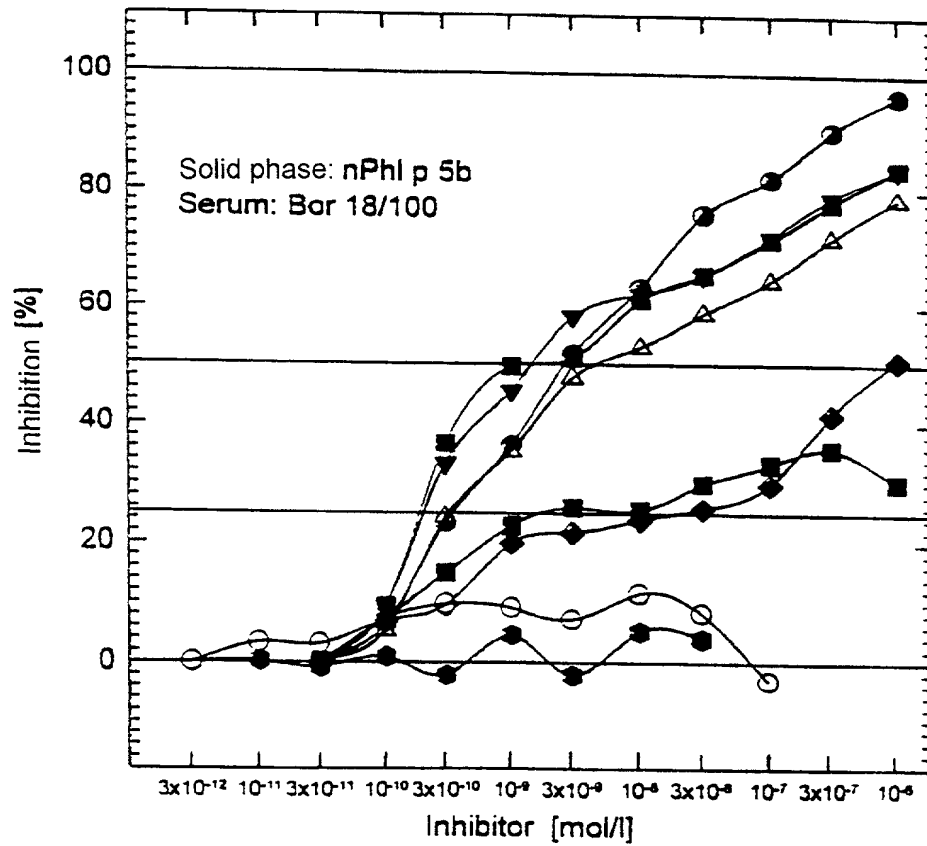


Figure 1 EAST inhibition curves of the Phl p 5b mutants using the allergic patient serum pool Bor 18/100

5 Inhibitors:

●—● nPhl p 5b	△—△ PM 1	◆—◆ DM 1	●—● DM 2
■—■ rPhl p 5b	▼—▼ PM 3	■—■ DM 3	○—○ DM 2*

Docket No.  
Merck 2034

## Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:-

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**MUTANTS OF GRAMINEAE POLLEN ALLERGENS FOR SPECIFIC IMMUNOTHERAPY; THEIR  
PREPARATION UND USE**

the specification of which

(check one)

- ☐ is attached hereto.  
☐ was filed on 16 MARCH 1998 as United States Application No. or PCT International  
Application Number PCT/EP98/01507  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>197 13 001.4</u> (Number)	<u>Germany</u> (Country)	<u>27 March 1997</u> (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
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I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

09/381 903

(Application Serial No.)

27.09.1999

(Filing Date)

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(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112. I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)

(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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